

The Native AFS Client on Windows
The Road to a Functional Design

Jeffrey Altman, President Your File System Inc. 14 September 2010

#### The Team

- Peter Scott
  - Principal Consultant and founding partner at Kernel Drivers, LLC
  - Microsoft MVP
- Jeffrey Altman
  - OpenAFS Gatekeeper and Elder
  - President of Your File System, Inc.

#### SMB ...

- The Windows AFS architecture developed by Transarc leveraged the SMB redirector to pass file system requests to the AFS Cache Manager
- Microsoft Loopback adapter used to permit local NetBIOS name binding of \\AFS
- "Easier to implement" but reliant on Microsoft system components
  - Hard to get bugs fixed in these modules
  - Not very performance focused
    - Generic solution to fit all situations
  - Typical Microsoft interface ... minimal documentation

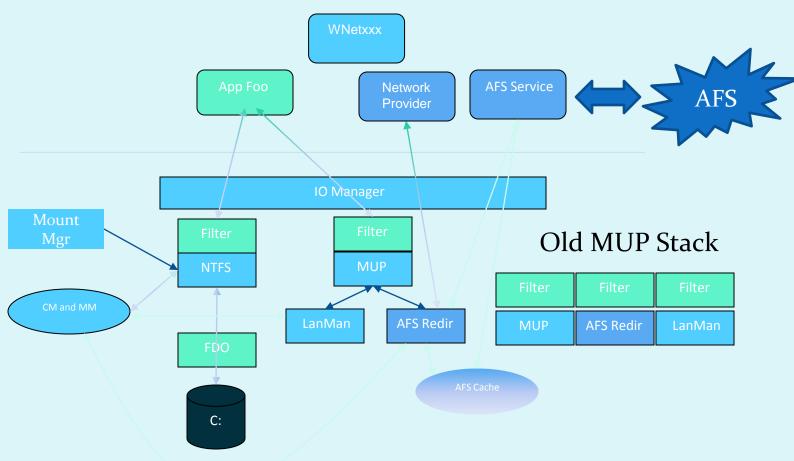
### Goals of the Design

- Need to leverage as much functionality within the AFS Service as possible
  - Keep all server communication in service
    - Data retrieval
    - Callback registration and notification
    - Metadata management
- Complete integration into the Microsoft IFS (Installable File System) API
- Stability and performance
- Easy rollback to SMB interface without uninstall

#### Windows File System Model

- Windows IFS Interface
  - IRP (I/O Request Packet) based
  - 'Fast IO' Interface used for more than just I/O
  - Network Provider Interface for Network Redirectors only
- A network file system is not much different from a local file system, in Windows
  - MUP (Multiple UNC Provider) Registration
    - Pre-Vista uses different model
  - IOCTL\_REDIR\_QUERY\_PATH(\_EX)
    - \\afs\your-file-system.com\user\foo.txt
  - Path Parsing
    - \Device\MUP\;AFS\Redirector\;C:\AFS\your-file-system.com\user\foo.txt
       \;C:\AFS\your-file-system.com\user\foo.txt
    - \device\MUP\AFS\your-file-system.com\user\foo.txt
      - \AFS\your-file-system.com\user\foo.txt
  - Network Provider Library
    - User mode interface for WNet API

#### Windows Internals



#### Windows Internals

- Windows Vista Changes
  - Memory Manger and Cache Manager changes
    - Theoretical limit of 4GB paging I/O requests but have not seen anything larger than 256MB
      - Pre-Vista had a maximum of 64KB
    - 'Dummy' pages in Memory Manager does not effect redirector
  - MUP Changes
  - Tons of new 'features' Bitlocker, built in AV, Indexer, Single Instance Storage, etc.

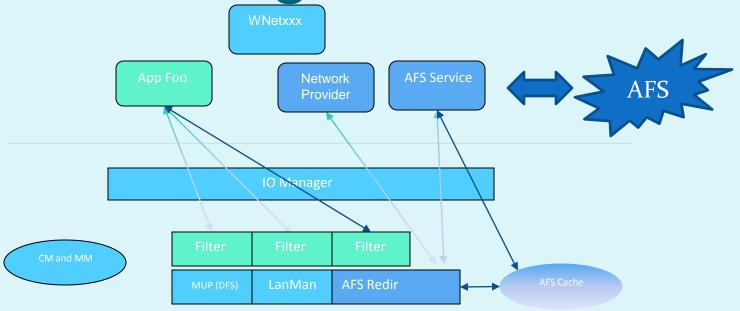
## **MUP** Registration

- MUP Handles mappings between the UNC name space and the file systems which manage them
- MUP changes in Windows Vista
  - Old model
    - Register with MUP using a named device object
    - Prefix resolution and IRP\_MJ\_CREATE requests handled by MUP, all others sent to file system
  - New Model
    - Register with MUP using an unnamed device object and a name of the file system control device

# Old MUP Design

- Registration with MUP used a named device object
  - Prefix resolution by MUP used the IOCTL\_REDIR\_QUERY\_PATH request
    - Cache entries for 15 minutes unless flushed
- IO Manager would send all requests, post IRP\_MJ\_CREATE, directly to file system
- Network redirectors would register, separately, as a file system resulting in filter attachment issues

Old MUP Design

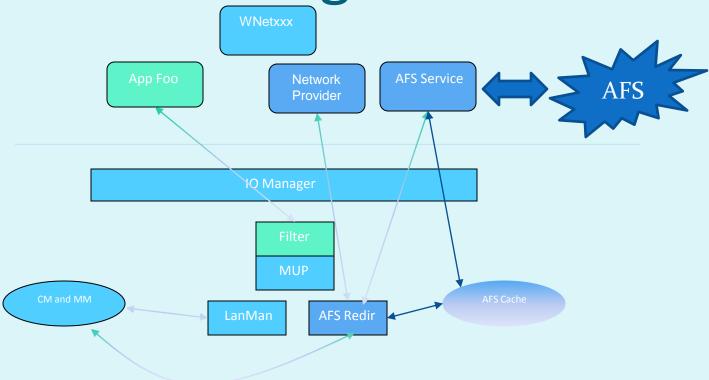


- Only prefix resolution and IRP\_MJ\_CREATE requests handled through MUP
- All subsequent requests issued to redirector

# New MUP Design

- Register with MUP using a device name and an unnamed device object
  - Results in MUP creating a symbolic link from the device name to \Device\MUP
  - Prefix resolution using IOCTL\_REDIR\_QUERY\_PATH\_EX
- All requests go through MUP
- Single attachment point for filters

# New MUP Design



- All requests go through MUP
- Single point access Better?

## Path Parsing in Windows

- 2 forms can be sent drive letter or not ...
- Drive letter names come into MUP as \Device\MUP\;AFS\Redirector\;C:\AFS\your-filesystem.com\user

#### Which are mapped by MUP into

\;C:\AFS\your-file-system.com\user

 UNC names come into MUP as \device\MUP\AFS\your-file-system.com\user

#### Which are mapped by MUP into

\AFS\your-file-system.com\user\foo.txt

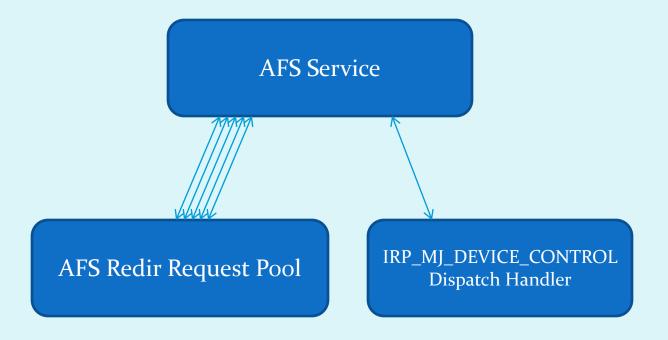
#### Network Provider Interface

- User mode library with supporting interface in file system
- Used to support WNet API in user mode
- Implements drive letter mapping and share browsing
- Communicates with file system for state and connection information
- Maintains per user information on mappings

#### **AFS Service Communication**

- Inverted call model
  - Requests from file system
  - Uses proprietary IOCtl interface
  - Communication through CDO (Control Device Object) symlink
- IOCtl interface
  - Requests to file system
  - Proprietary IOCtl interface for service initiated requests
- Cancellable interface through CDO handle

#### **AFS Service Communication**



- All requests issued through CDO symbolic link -\??\AFSRedirector
- Request pool state controlled through open handle

# Merging Worlds

- Name space convergence
  - Symbolic Links Microsoft and AFS
  - Mount Points
  - DFS Links
  - Component substitutions @SYS
- File data handling
- PIOCtl Interface
- "Special" share name handling
  - PIPE\srvsvc
  - PIPE\wkssvc
- Network Provider Interface

### Name Space Convergence

- Cells and Shares
  - Share access mapped into cell names or volume names
    - \\AFS\your-file-system.com
    - \\AFS\your-file-system.com#root.cell
  - Dynamic discovery
- Reparse points and symbolic links
  - Must handle all symbolic links internally, they are not understood by Windows
  - Support the generic reparse point interface through FSCTL\_xxx\_REPARSE\_POINT controls – no support to write this data
- Mount point processing managed internally
- DFS Links are supported through Windows reparse processing

## Metadata Handling

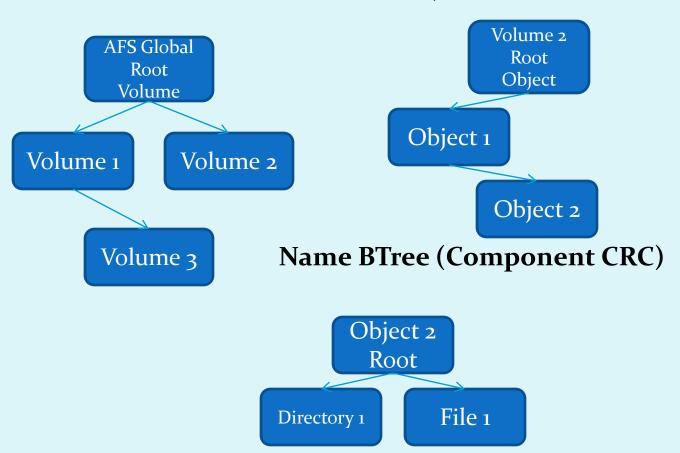
- Redirector caching model
  - Cache objects based on FID on a per volume basis
  - Cache directory entries based on hash of name on a per directory basis
  - Support case insensitive, sensitive and short name lookups
  - Asynchronous pruning of trees when not in use
- Path name parsing in Windows
  - Path analyzed component by component, walking a specific branch for achieve the target object
  - Maintains a list of components used to access current target
  - Need to support relative symbolic links within a pathname

Name Space Implementation Windows IFS and NP Service Interface **AFS Redirector** Name based access layer FID based access layer

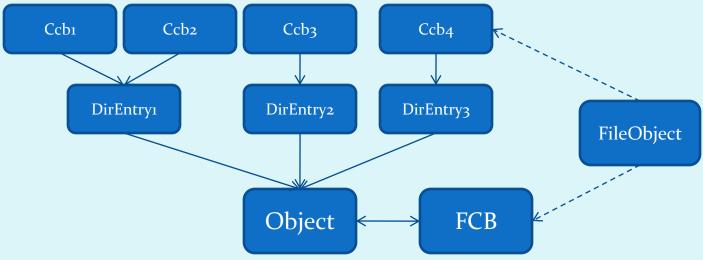
- FID based access is 'almost' lockless Only volume based lock required
- Name based access is complex due to symlink, mount point, DFS link and other abstractions not recognized by Windows

#### Name Space Implementation

**Volume Btree (Cell, Volume) Object Btree (Vnode, Unique)** 



# Name Space Implementation



- Handle AFS Symbolic Links, Mount Points, etc.
- DirEntry nodes are tracked per directory, contain name based information
- Object nodes are tracked by FID per volume
- FCB (File Control Block) nodes are used within the Windows IFS interface, tracked under the FileObject->FsContext pointer, one per Object node
- CCB (Context Control Block) nodes are used within the Windows IFS interface, tracked under the FileObject>FsContext2 pointer, one per open instance of a file

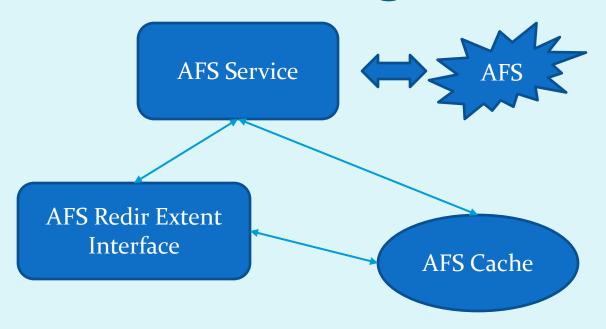
## File Data Handling

- Windows caching model
  - Re-entrant model Need to be careful of locking hierarchy
  - Side band locking interface for memory and cache manager components – Fast IO interface
  - Need to observe IRQ (Interrupt Request) levels while processing requests to underlying AFS Cache
- File Extent Interface
  - Extents describe the location of file data within the AFS Cache
  - Managed by the AFS Service and provided to the redirector upon request

## File Data Handling

- AFS Caching
  - AFS Service populates AFS Cache with requested data and flushes dirty data back to server
  - AFS Redirector talks directly to the underlying AFS Cache through extents retrieved from the AFS Service
  - Interesting edge cases arise when performing large file copies using small AFS Cache sizes
    - Windows 'optimizations' in flushing
  - Leverage Windows Read-Ahead and Write Behind features

# File Data Handling



- Allows for better performance by allowing redirector direct access to cache file
- AFS Service still manages cache layout and population

#### PIOCTL Interface

- The interface has not changed from the AFS perspective
- Implemented within the redirector as 'special' file open requests within active directory
- File information and data management handled within the AFS Service

# Special Share Name Handling

- \PIPE\IPC\$
  - Used for remote processing currently not supported within the AFS Redirector
- \PIPE\srvsvc
  - Used for server and share information processing through the Net API
    - Supported through AFS Service
    - Leverages Microsoft RPC engine for translation
- \PIPE\wkssvc
  - Used for workstation information processing through the Net API
    - Supported through AFS Service
    - Leverages Microsoft RPC engine for translation

## **Invalidation Processing**

- Callback processing and issues in Windows
  - Callbacks can be made as a result of requests issued from the file system. Need to ensure these re-entrant calls do not lead to dead locks
    - 'Almost' lockless model in the callback routine through FID access layer
  - Server initiated callbacks have interesting effects, particularly in the directory change notification interface
    - Callbacks are FID based while notification is name based

## Windows Change Notification

- Windows model for directory change notification
  - Objects added, modified or deleted initiate completion of a notification request
- Windows support API is named based ... not in AFS
- Implement layer on top of Windows support API to map names to/from FIDs
  - Some edge cases that are not correctly handled, particularly in callback invalidation

# **AFS Redirector Trace System**

- Command line configurable Level, subsystem, buffer size, etc.
- Persisted configuration for system startup tracing
- In memory buffer so recoverable in crash dump
- Retrieve buffer through command line as well as dump to debugger

#### Yet to be Done ...

- Alternate Data Streams (requires new RPCs)
- Extended Attributes (requires new RPCs)
- User and process quotas
- Enhanced extent processing interface
- Windows Management Instrumentation
- Dynamically loadable functional driver
  - eliminates reboot for updates to file system
- Microsoft Management Console replacement for AFS Control Panel

#### **Contact Info**

- Jeffrey Altman
- President
- Your File System Inc.
- jaltman@your-file-system.com
- +1 212 769-9018

